



# Legal & General Three Year Capital Guaranteed Bond 10

## CASH ISA NEW SUBSCRIPTIONS AND CASH ISA TRANSFER FORM

Cash ISA new subscription applications must be received by 7 November 2008

Cash ISA transfer applications must be received by 24 October 2008

2008/2009 TAX YEAR – NEW SUBSCRIPTIONS

### FOR OFFICE USE ONLY

RECEIPT NUMBER

AGENCY NUMBER

BC

Agent stamp/number

DEAL REFERENCE

CLIENT NUMBER

LAUNCH CASH FUND

### NOTES FOR ADVISERS – VERIFICATION OF IDENTITY

The verification of identity declaration can only be used if you have fully verified the customer(s). You cannot use the declaration if the customer falls into one of the following categories:

- Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification.

- Those who have been subject to Simplified Due Diligence under Money Laundering Regulations.
- Those whose identity has been verified using the source of funds as evidence.

For verification of third party donors, please use the Confirmation of Verification of Identity certificate on our Adviser Centre.

For cash ISA transfers please note, if you hold a cash ISA for the current tax year or a cash ISA for previous tax years with another account manager, you can transfer it to the Legal & General Three Year Capital Guaranteed Bond 10. Please do not send money with this application. This form will act as authorisation to your existing ISA manager to transfer your investment to Legal & General. To participate in this offer the proceeds of your existing ISA(s) must be received by 7 November 2008. If received after this date the proceeds will not be accepted and will be automatically returned to your existing ISA manager.

Before completing this application form, please ensure you have read and understood the ISA Key Features and the Terms and Conditions.

### PLEASE COMPLETE IN BLOCK CAPITALS

#### SECTION 1. PERSONAL DETAILS

Title Mr  Mrs  Ms  Miss  Other  If Other, please tick Male  Female

Surname  Full forename(s)

Permanent residential address

Postcode

Email address  Daytime telephone number

If you have lived at this address for less than three months, please provide your previous address details here:

Previous address

Postcode

Date of birth  Do you have a National Insurance number? Yes  No  If Yes, please enter it here

Do you have an existing Legal & General account? Yes  No  If Yes, please enter client number

#### Please tick if applicable:

Legal & General employee  Legal & General shareholder  Relative of Legal & General employee/shareholder  If you have ticked this box, please write the name of the Legal & General employee/shareholder below.

PLEASE COMPLETE THE REST OF THIS FORM

**SECTION 2. BANK/BUILDING SOCIETY DETAILS – PLEASE COMPLETE THIS SECTION**

Please provide the details of your bank/building society account in order that we can make any payments, either on your request or at the payment date as stated in the Terms and Conditions at the end of the fixed term.

Name of bank/building society \_\_\_\_\_

Address \_\_\_\_\_

Postcode \_\_\_\_\_

Name of account holder \_\_\_\_\_ Sort code

Roll number (if applicable) \_\_\_\_\_ Account number

**SECTION 3. YOUR INVESTMENT**

**3.1 Cash ISA new subscription**

I wish to subscribe for a cash ISA for the tax year 2008/2009. I wish to invest £  Minimum investment is £500  
Maximum investment is £3,600

**3.2 Existing cash ISA transfer request**

Please check with your existing provider to see if there are any penalties for, or restrictions to, transferring your existing cash ISAs. If your accounts are held by different account managers please complete a separate application form for each.

**If transferring current and previous tax years ISAs, please complete both sections.**

**A Current tax year cash ISA to be transferred?**

Yes  transfer value of your cash ISA £  The minimum transfer amount is £500.

**You must transfer the whole of this year's cash ISA.**

**B All previous tax year subscriptions to be transferred?**

Do you wish to transfer all or part of your existing cash ISA? (Please tick as appropriate)

All

**OR**

Part  please state how much you wish to transfer £  or %  The minimum transfer amount is £500.

If your transfer relates to a specific tax year(s)/account number(s) please give details here

**SECTION 4. PAYING FOR YOUR INVESTMENT (Cash ISA new subscription only)**

Your investment can be paid either by cheque or by debit card.

**How do you want to pay for your investment?**

**By cheque**  Please make your cheque for the total amount payable to Legal & General. Cheques should be drawn on your personal account. For bankers' drafts/building society cheques, please ask the bank/building society to print your name as the account holder. Please remember to attach your cheque to this application.

**By debit card**  Please give details in full below. You should only complete these details if sufficient cleared funds are in your account to cover the investment amount, otherwise your application will be rejected. Please note that we cannot accept payment by Solo or Electron.

Visa Delta  Maestro  Issue number (if applicable)

Card number (16, 18 or 19 digits)

Start date (if applicable)  Expiry date

Name as it appears on your card

Sort code  Account number

## SECTION 5. DECLARATIONS

### CASH ISA NEW SUBSCRIPTION AND CURRENT TAX YEAR CASH ISA TRANSFERS: Declaration

- I have not subscribed, and will not subscribe more than £7,200 in total to a cash ISA and a stocks and shares ISA in the same tax year.
- I have not subscribed, and will not subscribe more than £3,600 of the overall £7,200 total to a cash ISA.
- I have not subscribed, and will not subscribe to another cash ISA in the same tax year that I subscribe to this cash ISA.
- I am resident and ordinarily resident in the United Kingdom for tax purposes, or if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Legal & General if I cease to be resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

Please note: Details about residence and being ordinarily resident are available from your tax office or on HM Revenue & Customs' website.

### ALL APPLICANTS: Declaration

I declare that:

- all subscriptions made, and to be made, belong to me.
- I am 18 years of age or over.

I authorise Legal & General:

- to hold my cash subscription, ISA investments, interest and any other rights or proceeds in respect of those investments and any other cash, and
- to make on my behalf any claims to relief from tax in respect of ISA investments.

I declare that the information given in this declaration is true and correct to the best of my knowledge and belief and that I will inform Legal & General without delay of any change to my circumstances affecting any information in this form.

### IMPORTANT, PLEASE READ: Data Protection

Use of your information

Legal & General takes your privacy very seriously. We use the personal information collected via this form and any other information that you provide to us ('your information') for the purposes of:

1. providing you with our products and services and dealing with your enquiries and requests,
2. administering your investment,
3. carrying out market research, statistical analysis and customer profiling, and
4. sending you marketing information (by post, telephone, email and SMS) about products and services of companies in the Legal & General group and of third parties whose products and services Legal & General offers to its customers.

By signing below, you agree to receive the information as described in 4 above, unless you tell us otherwise by ticking this box .

Given the global nature of our business, we may need to transfer your information to countries outside the European Economic Area in order to provide our services to you.

Disclosures:

We will disclose your information to other companies within the Legal & General group of companies, regulatory bodies, law enforcement agencies, future owners of our business and suppliers we engage to process data on our behalf. If you have been dealing with a financial adviser, we will give them information about your product and, where appropriate, provide them with other information about your dealings with us to enable them to give you informed advice.

Where you have been introduced to us by a bank or a building society, we will share your information with them to enable them to:

- (a) carry out market research, statistical analysis and customer profiling, and
- (b) send you marketing information about their products and services and products and services of companies in the Legal & General group and of third parties whose products and services Legal & General offers to its customers.

By signing below, you agree to receive the information as described in (b) above by post or telephone, unless you tell us otherwise by writing to Business Standards, Legal & General Retail Investments, Customer Services Centre, PO Box 1004, Cardiff CF24 0YS.

Access:

You have the right to ask for a copy of your information in return for payment of a small fee. To obtain a copy of your information, please write to us at the above address.

I agree to the use of my information as set out above.

I understand that a copy of the completed application form is available on request.

I have read and understood the declaration, the Legal & General Three Year Capital Guaranteed Bond 10 ISA Key Features and the Terms and Conditions and I agree to be bound by the Terms and Conditions.

### ALL APPLICANTS SIGN HERE

Date

### IF YOU ARE TRANSFERRING EXISTING ISAs PLEASE COMPLETE, SIGN AND DATE THE TRANSFER DECLARATION

#### TRANSFER DECLARATION

Instruction to existing ISA manager(s)

Title \_\_\_\_\_

Forename \_\_\_\_\_

Surname \_\_\_\_\_

Account manager \_\_\_\_\_

Address of your current ISA manager \_\_\_\_\_

ISA account number \_\_\_\_\_

#### EXISTING ISA MANAGER INSTRUCTIONS

1. I instruct the manager of the ISA shown above to realise my account as detailed above and send a cheque for the proceeds, so they are received by 7 November 2008 at the latest to Legal & General Retail Investments, Customer Services Centre, PO Box 1004, Cardiff, CF24 0YS. HM Revenue & Customs Ref Z1160.
2. All interest and tax credits arising after the transfer should be made payable directly to me.

### ISA TRANSFER APPLICANTS SIGN HERE

Signature

Date

Please send to your financial adviser or to:  
Legal & General Retail Investments, Customer Services Centre,  
PO Box 1004, Cardiff CF24 0YS.

### FOR ADVISER USE ONLY – VERIFICATION OF IDENTITY (this is not applicable to Legal & General appointed representatives or employees)

I confirm the personal details listed in Section 1 were obtained by me. I have seen evidence to verify the identity of all applicants that meets or exceeds the standards set out within JMLSG guidance. Details of any evidence exceeding the guidance are attached.

Name \_\_\_\_\_

Job title \_\_\_\_\_

Signature

Date

Legal & General (Portfolio Management Services) Limited.  
Registered in England No. 2457525  
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