

3. Applicant details

If you do not complete this section, we will assume that all the lives assured named in section 2 are owners of the bond. Your financial adviser will give you more information on the importance of ownership.

If more than one individual who is not already named is to own the bond, please give their details on a separate sheet and attach it to this application.

You should complete this section in all cases.

The bond is to be owned by (please tick one box):

- | | | | |
|--|--------------------------|--------------------------------|--------------------------|
| First life assured | <input type="checkbox"/> | Second life assured | <input type="checkbox"/> |
| First and second lives assured | <input type="checkbox"/> | All lives assured | <input type="checkbox"/> |
| Trustees of an existing trust (complete section 4 below) | <input type="checkbox"/> | Other (complete details below) | <input type="checkbox"/> |

Title	Address
<input type="text" value="Mr/Mrs/Miss/Ms/Other"/>	<input type="text"/>
Full forename(s)	<input type="text"/>
<input type="text"/>	<input type="text"/>
Surname	<input type="text" value="Postcode"/>
<input type="text"/>	
Relationship to life/lives assured	
<input type="text"/>	

4. Existing trust (if applicable)

All of the trustees must be named and must complete the declaration at the end of this form.

We will send all correspondence to the principal trustee.

Name of trust	Date of trust (dd/mm/yyyy)
<input type="text"/>	<input type="text"/>
Principal trustee: title	Second trustee: title
<input type="text" value="Mr/Mrs/Miss/Ms/Other"/>	<input type="text" value="Mr/Mrs/Miss/Ms/Other"/>
Full forename(s)	Full forename(s)
<input type="text"/>	<input type="text"/>
Surname	Surname
<input type="text"/>	<input type="text"/>
Address	Address
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text" value="Postcode"/>	<input type="text" value="Postcode"/>

7. Regular withdrawals (if required)

It is important you understand the taxation rules governing any withdrawals. Your financial adviser will provide further details.

Please complete (i), (ii), (iii) or (iv) below to indicate how much you want to withdraw. Please note that you should only select **one** of these options.

- (i) % a year of the original total investment
- (ii) £ fixed amount for each regular withdrawal
(The minimum regular withdrawal is £40 a month, and £100 for other frequencies.)
- (iii) % a year of the value of the investment at the withdrawal date
- (iv) **(Distribution Fund and Select Distribution Fund only)**
Full distribution amount (please tick)

Where you hold units in more than one fund, please complete the following.

Withdrawals should be made by (please tick):

- Cancelling units proportionally across all funds
- Cancelling units from one specific fund as set out below

Name of fund

Date of first withdrawal

Day (1-28) Month Year

and after that every (please tick):

Month Quarter

Six months Year

(The withdrawal date is the date on which your units will be cancelled. We will make a payment into the account within five working days after that date.

Withdrawals cannot start within four weeks of Scottish Equitable receiving this application.)

If you select 'Full distribution amount', please note that the date of withdrawal cannot be before the next available 'yield'. The 'yields' are applied on 18 May and November each year.

Bank/Building society name

Address

 Postcode

Sort code — —

Account number

Name of account holder

8. Declaration

1. I/We apply for an Investment Bond in Scottish Equitable's standard terms.
2. I/We declare that the answers to the questions on this form are true to the best of my/our knowledge and belief and I/we agree that they shall form the basis of the contract.
3. I/We have read over any answers not filled in by me/us in my/our own writing and confirm that they are correct.
4. I am/We are aged 18 years or over.

Consent to processing of data

The data controller in respect of the personal data that you provide is Scottish Equitable. The information provided by you may be held by Scottish Equitable for purposes in connection with the contract (and related services) which you have applied for. This includes the processes of administration, claims management and customer complaint handling. Where necessary, we will process 'sensitive data' about you in accordance with the Data Protection Act. We have a confidentiality policy in place, which means that sensitive information is held securely and access is limited to those who need to see it.

Your personal information may be shared with companies in the AEGON UK Marketing Group. The AEGON UK Marketing Group may advise you of new products or services being promoted. (Where 'AEGON UK Marketing Group' is used, this means Scottish Equitable plc and any associated companies from time to time, including the Guardian life and pensions companies.)

If you do not wish to receive such information, please tick this box

In addition, Scottish Equitable will disclose information to certain bodies where we have a legal obligation to do so, for example to regulatory bodies or authorities such as the Financial Services Authority or the Inland Revenue. Scottish Equitable may also be required to disclose information in order to comply with money laundering laws and for other purposes, for example detecting crime. We will also share permitted relevant information with persons acting on your behalf (for example your financial adviser) to allow them to fully carry out their role as your agent.

Further information is set out in the attached leaflet entitled 'Protecting your personal information'.

I consent to the processing of data as explained above (and where appropriate for other persons named in this form).

Date (dd/mm/yyyy)

Signature of first applicant

Signature of second applicant (if applicable)

Signature of third applicant (if applicable)

Signature of fourth applicant (if applicable)

Scottish Equitable will, on request, supply a copy of the standard Investment Bond policy conditions and a copy of the completed application form.

Money laundering – important note for financial advisers

In order to comply with the requirements of the Money Laundering Regulations, the FSA Money Laundering Sourcebook and the Money Laundering Guidance Notes for the Financial Sector, you are required to complete a separate Identity Verification Certificate(s), which should be submitted together with this application form.

Please note that processing of this application will not be completed by Scottish Equitable until all of the required fully completed and signed Identity Verification Certificates are received by Scottish Equitable.

A separate Identity Verification Certificate must be completed for each applicant and, if different, for the person(s) paying the contribution.

Where the application is being made on behalf of an individual who cannot make the application themselves because of incapacity, an Identity Verification Certificate is required for both the attorney/guardian and also for the person on whose behalf the attorney/guardian is acting and, if different, for the person paying the contribution.

